## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MARK A. BAKKE ET AL.

Serial No.: NOT ASSIGNED

Filed: HEREWITH

Attorney Docket No.: 98-017-TAX

For: INTELLIGENT DATA STORAGE MANAGER

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)(1)

Box Patent Application Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and § 1.97-1.98, the references listed and identified on the attached Form 1449 are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(b)(1).

While this Statement is being filed in compliance with the duty of disclosure, citation of the attached references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

A copy of each reference listed on the attached Form 1449 is included herewith. Consideration and entry into the record of these references is respectfully requested.

Respectfully submitted,

MARK A. BAKKE ET AL.

Wayne P. Bailey Reg. No. 34,289

Attorney/Agent for Applicant

Date: September 28, 2001

STORAGE TECHNOLOGY CORPORATION

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FORM 1449\*

INFORMATION DISCLOSURE STATEMENT

Docket Number: 98-017-TAX Application Number: Not assigned

IN AN APPLICATION

(Use several sheets if necessary)

Applicant: Mark A. Bakke, et al.

Filing Date: Herewith Group Art Unit: 2782

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EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

<sup>\*</sup>Substitute Disclosure Statement Form (PTO-1449)

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